Yogendra P Sharma
STRATEGIC FINANCE & BOARD ADVISORY

FINANCE-LED COMPLIANCE AND GOVERNANCE

From Reliable Books to Confident Compliance

FOR INDIAN SMEs AND INDIAN SUBSIDIARIES OF GLOBAL COMPANIES

Build compliance on accurate accounting, disciplined closure, documented controls and clear ownership - so filings, audits and management reporting are timely, traceable and defensible.

01	02	03	04
GST & INDIRECT TAX	INCOME TAX & TDS	INTERNAL AUDIT & SOPS	LABOUR COMPLIANCE

BOOK A COMPLIANCE REVIEW

Accounting discipline. Control ownership. Defensible compliance.

THE COMPLIANCE FOUNDATION

Compliance begins before the return is filed

Correct books and a controlled close create the reconciliations, evidence and audit trail required for reliable tax, regulatory and management compliance.

01 Daily accounting and correct classification

02 Reconciliations and disciplined month close

03 Control review and exception resolution

04 Compliance calendar, ownership and review

05 Filing, evidence retention and audit readiness

COMPLIANCE OPERATING DISCIPLINE

OWNERSHIP

A named preparer and reviewer for every obligation.

REVIEW

Visible status, exceptions and corrective actions.

EVIDENCE

Filed returns and supporting records retained together.

Scope is tailored to the entity and coordinated with legal or practising professionals where specialist practice is required.

CORE CAPABILITIES

Integrated compliance support

Practical support connecting source records, controls, reviews, filings and retained evidence.

01

GST Compliance & Controls

Return readiness, reconciliations, input-tax-credit controls, transaction review, documentation and issue resolution support.

02

Income Tax & TDS

Tax-data readiness, TDS controls, reconciliations, computation support, documentation and disciplined compliance tracking.

03

Internal Audit

Risk-led reviews of finance and operating processes, control gaps, exceptions, corrective actions and management follow-through.

04

SOP Compliance

Practical SOPs, responsibility matrices, approval controls, evidence standards and periodic adherence reviews.

05

Labour-Law Compliance

Compliance process review, record discipline, responsibility mapping, due-date monitoring and coordinated resolution support.

06

Audit & Regulatory Coordination

Finance-data and document readiness for statutory audit and matters involving corporate, securities and foreign-exchange specialists.

Scope is tailored to the entity and coordinated with legal or practising professionals where specialist practice is required.

CLEAR PROFESSIONAL BOUNDARIES

Direct expertise, supported where specialist practice is required

LED DIRECTLY

Finance, Tax, Controls & Process Compliance

- GST and indirect-tax controls
- Income tax and TDS processes
- Internal audit
- SOP design and compliance reviews
- Labour-law compliance processes
- Compliance calendar and corrective-action tracking

PROFESSIONALLY COORDINATED

Legal, Regulatory & Assurance Matters

- Companies Act matters
- ROC compliance
- IPO and SEBI filing readiness
- FEMA matters
- RBI matters
- Statutory audit support

PROFESSIONAL BOUNDARY

No legal opinion is implied. We support finance-data readiness, documentation and execution, in coordination with suitable legal and practising professionals.

BUSINESS OUTCOMES

Compliance that strengthens management confidence

Timely, defensible filings

Returns and submissions supported by reconciled records and an organised evidence trail.

Audit readiness

Schedules, documentation and ownership prepared before auditors request them.

Visible risk and accountability

Exceptions, responsibility and corrective actions made visible to management.

Reliable parent reporting

Indian compliance and financial information aligned with global-parent expectations.

BUILD COMPLIANCE INTO THE BUSINESS

Move from deadline management to sustained compliance readiness

Strengthen the books, controls, ownership and professional coordination behind every important filing and audit.

BOOK A COMPLIANCE REVIEW

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